

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
IBM0115

In Re Application: **GARCIA ET AL**

RECEIVED

JAN 21 2003

JAN 23 2003

Serial No.

10/091,796

Filing Date

March 6, 2002

Examiner

Technology Center Group Art Unit
2100 2121

**ARTIFICIALLY INTELLIGENT ARBITRATION SCHEME FOR OPTIMIZING MULTIPLE
SHARING A RESOURCE**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-2121 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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Certificate of Mailing by First Class Mail

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Signature of Person Mailing Correspondence

April Davis

Typed or Printed Name of Person Mailing Certificate

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Signature

Dated: January 15, 2003

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Title: **ARTIFICIALLY INTELLIGENT ARBITRATION SCHEME FOR OPTIMIZING MULTIPLE PROCESSES
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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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37 CFR 1.97(b)

Technology Center 2100

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

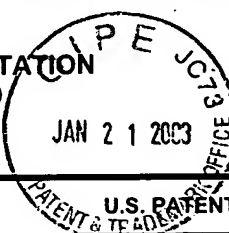
OR

☐ the fee set forth in 37 CFR 1.17(p).

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				ATTY DOCKET NO. IBM0115		SERIAL NO. 10/091,796		
				GARCIA et al				
				FILING March 6, 2002		GROUP 2121		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	1	US 5,845,096	12/01/1998	MUNGUIA ET AL	395	293	RECEIVED JAN 23 2003 <i>Technology Center-2100</i>	
	2	US 5,303,382	04/12/1994	BUCH ET AL	395	725		
	3	US 4,814,974	03/21/1989	NARAYANAN ET AL	364	200		
	4	US 5,572,686	11/05/1996	NUNZIATA ET AL	395	296		
	5	US 5,778,200	07/07/1998	GULICK	395	293		
	6	US 5,805,840	09/08/1998	DUTTON	395	296		
	7	US 5,845,097	12/01/1998	KANG ET AL	395	297		
	8	US 5,907,689	05/25/1999	TAVALLAEI ET AL	395	290		
	9	US 6,073,132	06/06/2000	GEHMAN	707	9		
	10	US 6,088,751	07/11/2000	JARAMILLO	710	116		
	11	US 6,098,109	08/01/2000	KOTZUR ET AL	709	249		
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	12	11-250004	09/17/1999	JAPAN (ABSTRACT ONLY)	G06F	13/362		✓
	13	2000-305893	10/26/2000	JAPAN (ABSTRACT ONLY)	G06F	13/36		✓
	14	11-338820	12/10/1999	JAPAN (ABSTRACT ONLY)	G06F	13/362		✓
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
		15	"A Method to Optimize Environment Models in Formal Verification", Unknown Author, from International Business Machines Corporation Research Disclosure, April 1999, pgs 537-538.					
EXAMINER					DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	16	US 4,449,183	05/15/1984	FLAHIVE ET AL	364	200	RECEIVED JAN 23 2003 <i>Technology Center 2100</i>
	17	US 5,502,824	03/26/1996	HEIL	395	293	
	18	US 5,533,205	07/02/1996	BLACKLEDGE, JR ET AL	395	297	
	19	US 5,487,168	01/23/1996	GEINER ET AL	395	650	
	20	US 5,555,383	09/10/1996	ELAZAR ET AL	395	306	
	21	US 5,862,355	01/19/1999	LOGSDON	395	296	
	22	US 6,070,215	05/30/2000	DESCHEPPER ET AL	710	129	
	23	US 5,905,877	05/18/1999	GUTHRIE ET AL	395	292	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			

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